

Dkt. #691-C-PCT(CN) -US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : JIN, et al.

U.S. Serial No. : Not Yet Known, corresponding to International Application No. PCT/CN03/00431, filed June 3, 2003, which claims priority of U.S. Serial No. 10/291,327, filed November 8, 2002; U.S. Serial No. 60/418,100, filed October 11, 2002; U.S. Serial No. 60/384,971, filed June 3, 2002

Filed : Herewith

For : HAZARD-FREE MICROENCAPSULATION FOR STRUCTURALLY DELICATE AGENTS, AN APPLICATION OF STABLE AQUEOUS-AQUEOUS EMULSION

Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, New York 11357

December 2, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail-Stop Patent Application

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants would like to direct the Examiner's attention to the following references which are listed below and on Forms PTO/SB/08A and PTO/SB/08B, which are attached hereto as **Exhibit A and Exhibits 26 and 28**.

1. Patent No. 5,716,644, Zale, S. E., Burke, P. A., Bernstein, H., Brickner, A., for COMPOSITION FOR SUSTAINED RELEASE OF NON-AGGREGATED ERYTHROPOIETIN (February 10, 1998).

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Page : 2

2. PCT Application, WO 96/40071, Neocrin Company, for METHOD FOR THE MANUFACTURE OF MINIMAL VOLUME CAPSULES CONTAINING BIOLOGICAL MATERIAL (1996).
3. US Patent Application, U.S. Serial No. 09/886,555, Jin, Tuo, Li Chen, and Hua Zhu, for STABLE POLYMER AQUEOUS/AQUEOUS EMULSION SYLSTEM AND USES THEREOF (2001).
4. Langer, R., Folkman, J., "Polymers for the sustained release of proteins and other macromolecules," Nature 263, 797-800 (1976).
5. CAS, Results of search on chemical abstracts on the subject of sustained release of proteins based on degradable polymers." (2002).
6. Weert, M. v., Hennink, W. E., Jiskoot, W., "Protein instability in poly(lactic-co-glycolic acid) microparticles," Pharm. Res. 17, 1159-1167 (2000).
7. Bartus, R. T., Tracy, M.A., Emerich, D.F., Zale, S.E., "Sustained delivery of proteins for novel therapeutic products," Science 281, 1161-1162 (1998).
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12. Sanchez, A., Villamayor, B., Guo, Y., McIver, J., Alonso, M. J., "Formulation strategies for the stabilization of tetanus toxoid in poly(lactide-co-glycolide) microspheres," *Intern. J. Pharm.* 185, 255-266 (1999).
13. Schwendeman, S. P., Tobio, M., Jaworowicz, M., Alonso, M. J., Langer, R., "New strategies for the microencapsulation of tetanus vaccine," *J. Microencapsulation* 15, 299-318 (1998).
14. Morlock, M., Koll, H., Winter, G., Kissel, T., "Microencapsulation of rh-erythropoietin, using biodegradable poly(D,L-lactide-co-glycolide):protein stability and the effects of stabilizing excipients," *European Journal of Pharmaceutics and Biopharmaceutics* 43, 29-36 (1997).
15. Yoshioka, S., Aso, Y., Kojima, S., "Dependence of the molecular mobility and protein stability of freeze-dried γ -globulin formulations on the molecular weight of dextran," *Pharmaceutical Research* 14, 736-741 (1997).
16. Weert, M. v. d., Hof, R. v., Weerd, J. v. d., Heeren, M.A., Posthuma, G., Hennink, W. E., Crommelin D. J. A., "Lysozyme distribution and conformation in a biodegradable polymer matrix as determined by FTIR techniques," *J. Controlled Release* 68, 31-40 (2000).

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22. Schwendeman, S. P., Cardamone, M., Brandon, M. R.,
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25. Takahata, H., Lavelle, E.C., Coombes, A.G.A., Davis, S.S., "The distribution of protein associated with poly(DL-lactide co-glycolide) microparticles and its degradation in simulated body fluids," J. Controlled Release 50, 237-246 (1998).
26. PCT International Search Report for JIN, et al, Int'l Application No. PCT/CN/00431, Filed June 3, 2003, Dated August 1, 2003. **[Exhibit 26]**
27. C.N. Patent No. 1054009A for Takeda Chemical Ind., Ltd., August 28, 1991. "PROLONGED RELEASE MICROCAPSULES."
28. WO 00/41,682 A, for LG Chemical, Ltd.; "LIPOPHILIC MICROPARTICLES CONTAINING A PROTEIN DRUG OR ANTIGEN AND FORMULATION COMPRISING SAME" Published July 2, 2000. **[Exhibit 28]**
29. A. Berthold et al., "Preparation and Characterization of Chitosan Microspheres as Drug Carrier for Prednisolone Sodium Phosphate as Model for Antiflammatory Drugs", Journal of Controlled Release, 1996, 39, 17-25.

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References 1-25 of the above-identified twenty nine (29) references were submitted as prior art to the United States Patent and Trademark Office on November 8, 2002 for U.S. Serial No. 10/291,327, filed November 8, 2002. Also, reference twenty nine (29) was cited in the March 9, 2004 Office Action for U.S. Serial No. 10/291,327. Accordingly, Applicants will not provide these documents unless otherwise requested by the Examiner. Reference twenty seven (27) will be sent to the Examiner by Express Mail.

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

No fee is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,

Albert Wai Kit Chan

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| Application Number | Not Yet Known |
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| First Named Inventor | JIN, Tuo |
| Art Unit | Not Yet Known |
| Examiner Name | Not Yet Known |
| Attorney Docket Number | 691-C-PCT(CN)-US |

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ * Number ⁴ * Kind Code ⁵ (if known) | | | | |
| | 2 | WO 96/40071 | 12-19-1996 | Neocrin Company | | |
| | 27 | CN1054009A | 08-28-1991 | Takeda Chemical Ind., Ltd. | | |
| | 28 | WO 0/041,682 A | 07-02-2000 | LG Chemical, Ltd. | | |
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| First Named Inventor | JIN, Tuo |
| Art Unit | Not Yet Known |
| Examiner Name | Not Yet Known |
| Attorney Docket Number | 691-C-PCT(CN)-US |

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OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| | 4 | Langer, R., Folkman, J., "Polymers for the sustained release of proteins and other macromolecules," Nature 263, 797-800 (1976). | |
| | 5 | CAS, Results of search on chemical abstracts on the subject of sustained release of proteins based on degradable polymers." (2002). | |
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